



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

In re Application of: Matthew R. Wilson et al.Attorney Docket No.: KCX-489(17400)Serial No.: 10/025,186Date: March 14, 2002Filed: December 19, 2001Art Unit: 3653Confirmation No.: 6465Our Account No.: 04-1403Title: APPARATUS FOR WEB CUT-OFF REWINDER

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
9 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_
- ☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p) ..... \$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. ☐ Certification per Rule 97(e); AND
- ii. ☐ Filing fee per Rule 17(p) ..... \$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Address: \_\_\_\_\_

Date: \_\_\_\_\_

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[ ] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[X] First Class Mail Certificate of Mailing under Rule 8:

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(Typed/printed name of person mailing paper or fee)  
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(Signature of person mailing paper or fee)

b.[ ] "Express Mail" Certificate under Rule 10:

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DORITY & MANNING, P.A.

By: Stephen E. Bondura  
Reg. No.: 35,070  
Signature: Stephen E. Bondura  
Date: March 14, 2002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILI CON ETION UNDER 37 CFR 52, and/o

In re Application of: Matthew R. Wilson et al.

Attention: Application Branch

Serial No.: 10/025,186

Date: March 14, 2002

Filed: December 19, 2001

Attorney Docket No.: KCX-489(17400)

Confirmation No.: 6465

Art Unit: 3653

Title: APPARATUS FOR WEB CUT-OFF IN A REWINDER

Our Account No.: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.  
☐ This is a Rule 47 filing per attached Petition.  
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
☐ Specification originally filed in non-English language; hence verified translation attached of:  
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.  
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11  
☒ Attached is an assignment to KIMBERLY-CLARK WORLDWIDE, INC.  
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).  
\_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.  
Certified copy (copies) ☐ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity."  
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
☒ Attached: Information Disclosure Statement, Cited References, Return Receipt Postcard  
☐ Preliminary Amendment: \_\_\_\_\_

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**  
**PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$ 740.00
Total Effective Claims <u>23</u> - 20 = <u>3</u> x \$18.00 =	\$ 54.00
Independent Claims <u>4</u> - 3 = <u>1</u> x \$84.00 =	\$ 84.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00 Filing Fee	\$ 878.00
Since PTO Notice under Rule 52, 53, and/or 85 set an <u>original</u> due date of _____, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).	\$ _____
If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$ 130.00
Subtotal	\$ 1008.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u>	\$ _____
Total =	\$ 1008.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00	\$ _____
If "assignment" box is X'd, add recording fee (\$40.00).	\$ 40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h).	\$ _____
<b>TOTAL FEE ENCLOSED</b>	<b>\$ 1048.00</b>

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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## DORITY &amp; MANNING

ATTORNEYS AT LAW, P.A.

By Atty: Stephen E. Bondura Reg. No. 35,070Signature: H. E. Bondura

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

March 14, 2002

Katherine M. Escobar

(Typed or printed name of person mailing paper or fee)

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